



To: Ms. Rebeca Grynspan
Associate Administrator

26 December 2012

Through: Heather Simpson
Special Adviser to the Administrator
Executive Office

Cleared: Patrick Tiefenbacher
Programme Adviser, ExO/OSG

From: John Magoha
Programme Assistant, ExO/OSG

Subject: Extension for DIM Authorization for Regional Project "Caribbean Risk Management Initiative Phase II"
Bureau: Regional Bureau for Latin America and the Caribbean

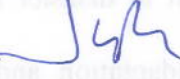
BASIC INFORMATION	
Dates of authorization	1 January – 31 December 2013
Brief Description	The initial phase of the project was developed in line with the UNDP Strategic Plan (2008-2013) with the aim of building risk management capacity for the countries' comprehensive disaster risk management structures. The second phase builds up on initial accomplishments and takes up shortcomings and lessons learned including the integration of climate change and disaster risk reduction perspectives into broader development planning; establishment of mechanisms to provide greater autonomies to stakeholders and initiation of country to country partnerships in common capacity building efforts.
Budget	\$1,386,420.00
JUSTIFICATIONS	
Justification for DIM	This authorization is sought for the bureau to implement the last leg of the project in 2013. There is neither a regional institution nor other UN agency with the required network and capacity to carry out the project.
DECISION	
Approval recommended	OSG recommends approval of extension of Direct Implementation of the project for a period from 1 January through 31 December 2013.



**Interoffice
Memorandum**

To: Rebeca Grynspan
Associate Administrator
United Nations Development Programme

Date: 20 December, 2012

From: Jessica Faieta 
Deputy Director
Regional Bureau for Latin America and the Caribbean

Subject: Request for extension of the authorization for Direct Implementation of the Regional Project Caribbean Risk Management Initiative Phase II.

I am pleased to request your consideration and approval of an extension for the direct implementation of the Regional Project Caribbean Risk Management Initiative (CRMI) Phase II administered by the LAC Regional Centre (Atlas AWARD Number 00060722), from January 2013 until December 2013.

Brief description and objectives of the project

CRMI phase II is the result of the recommendations of an external evaluation of CRMI phase I which analyzed the accomplishments and shortcomings of the CRMI. The evaluation team also met with the Resident Representatives in a meeting that was held in Costa Rica on 18th May 2008 with the collaboration of BCPR and RBLAC. The main recommendations of the evaluation were to promote the integration of Climate Change and Disaster Risk Reduction capacity and concepts into broader development planning, and, to establish mechanisms that will provide greater autonomy to stakeholders in order to initiate and establish country to country partnerships and common capacity building endeavors for Disaster Risk Reduction.

Capacity in the Caribbean for managing climate-induced disaster risk is low despite gains made by the Caribbean Risk Management Initiative (CRMI) Phase I and other initiatives undertaken by regional governments, the United Nations, and other development partners. To develop such capacity, this project will establish disaster risk reduction centers throughout the region, which will map hazards, vulnerability and risk due to climate change and geological hazards and be part of the national early warning system at the local government and community level. The project will support collection and generation of data on climate and the development of accurate climate change scenarios for key economic sectors to inform effective adaptation to climate change within the highly vulnerable SIDS of the Caribbean. Capacity to manage risk and adapt to climate change will be developed by establishing and supporting a Community of Practice, and developing

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educational courses and increasing awareness among, inter alia, media, policy-makers and stakeholders in communities, technical agencies and government. The project will also support development of financial mechanisms and promulgation of guidelines for reducing risk and integrating climate change adaptation into livelihoods, policy and practice.

There are three expected outputs of the CRMI Phase II:

1. Capacity for disaster risk reduction and adaptation to disaster risk reduction developed within the region.
2. Management of knowledge on climate change adaptation and disaster risk reduction improved.
3. Partnerships and Resource Mobilization enhanced.

Justification for Direct Implementation

In this case, the extension of the Direct Implementation is advisable for the following reasons:

1. The project which has a wide regional scope offering quality services to the Latin American and Caribbean Region will require an executing agency with the capacity to coordinate different components (capacity building, technical assistance, and knowledge products and services) and the requisite to coordinate a wide array of governmental and regional partners such as the Caribbean Disaster Emergency Management Agency (CDEMA), Association of Caribbean States and the Caribbean Community Climate Change Centre, national and international stakeholders, academic centers, universities and NGOs. In addition, the executing agency must have the capacity to mobilize resources and to convene a wide variety of national and international stakeholders. This way the project will ensure a successful transfer of knowledge and capacities in the Caribbean region.
2. The project requires an Implementing agency with the ability to coordinate various internal UNDP stakeholders (regional practice teams and several country offices, among others) and to convene a wide variety of national and international stakeholders and partners. UNDP is the only United Nations agency that has a regional coverage with offices in 24 countries and has the coordination capacity to promote alliances and partnerships through its offices and focal points in Latin America and the Caribbean.
3. This project seeks to add value to and improve the effectiveness of UNDP technical assistance in the region. A regional approach is required to systematically synchronize lessons learned, methodologies and strategies, to develop permanent mechanisms for identification, systematization, analysis of lessons learned and to support national efforts for resource mobilization; benchmarking and facilitating the work of policy makers and country office programme units.



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4. The Direct Implementation of the project provides an enabling policy and regulatory environment for direct service delivery so that it can meet emerging clients' demands for technical assistance and support in a wider range of circumstances. Combined with country office capacities, DIM implementation will enable the project to seek and secure new business opportunities, providing an effective mechanism to meet national demands and donor needs for quick response and implementation.
 5. There is no other organization in Latin American and the Caribbean and within the United Nations System that has the operational and technical capacity to successfully implement the project and guarantee its expected results and impact. The Regional Center for Latin America and the Caribbean has the required experience to coordinate the regional project as it did before in other projects, for instance, "Increased capacities for DRR" in Barbados, "Strengthening local capacities for Early warning systems" in Cuba or "Early recovery programme" in Dominican Republic.
 6. Three countries (Virgin Islands, Saint Vincent and the Grenadines and Montserrat) have already confirmed their acceptance and endorsement of the project and signed the attached letters.

Consequently, RBLAC would appreciate your authorization to extend the the DIM modality for the Regional Project "Caribbean Risk Management Initiative Phase II".

Attached documentations:

- CRMI Project Document
- Sign Prodoc with government letters of endorsement
- Minutes from BPAC_March 2010
- Minutes from PAC_Dec 2010
- Previous DIM authorization_March 2011
- CRMI I Evaluation Report_2008
- CRMI I Annual Report_2010
- CRMI II Annual Progress Report_2011
- CRMI II Semestre Report_Jan-June 2012
- CRMI II Quarterly Report_July-Sept 2012
- Annual Work Plan (AWP) 2011-2013



Approved: Rebeca Grynspan

Date: January 2nd, 2013

Rebeca Grynspan
Associate Administrator

Cleared by OSG: [Signature]

Date: 27 Dec 2012

[Signature]

Consequently, KBLAC would appreciate your authorization to extend the DIM mobility for the Regional Project "Caribbean Risk Management Initiative Phase II".

Attached documents:

- Annual Work Plan (AWP) 2011-2013
- CRM II Quarterly Report July-Sept 2012
- CRM II Strategic Report Jan-June 2012
- CRM II Annual Progress Report 2011
- CRM I Annual Report 2010
- CRM I Evaluation Report 2008
- Previous DIM authorization March 2011
- Minutes from PAC Dec 2010
- Minutes from BPAC March 2010
- Sign Protocol with government letters of endorsement
- CRM I Project Document